

Louisiana Public Service Commission

Located at 602 North Fifth Street; Baton Rouge, LA 70802 Mailing Address PO Box 91154; Baton Rouge, LA 70821 Transportation Division: (888) 342-5717

COMMON CARRIER OF WASTE BY MOTOR VEHICLE

Procedure and related information for making application to the Louisiana Public Service Commission for a common carrier certificate of waste by motor vehicle for disposal pursuant to General Order dated January 23, 2018. No motor carrier shall operate as a common carrier without having first obtained from the Commission a certificate, which shall be issued only after a written application, is made, filed and approved.

APPLICATIONS - PROCESS

Applications for Common Carrier Certificates shall be subject to General Order dated January 23, 2018. Application must be filed in the Commission's office, Galvez Building, 602 N. 5th St., Baton Rouge, Louisiana 70802. (Mailing address is P.O. Box 91154, Baton Rouge, Louisiana 70821-9154.)

The original notarized application must be submitted in **TRIPLICATE** (original & 2 copies) and be accompanied by the following: (**NOTE**: Any application that does not provide the minimum requirements as listed below will be **REJECTED**.)

Application Filing Fee must be remitted in the amount of \$200.00 NON-REFUNDABLE
A copy of the Secretary of State Certificate and Articles of Incorporation or Formation from the State of origin or existence.
A copy of the Louisiana Secretary of State's Certificate of Good Standing.
The company's operating structure, names of regulatory contacts, bookkeepers, CPA, dispatchers, or other employees anticipated to be involved with the transportation and disposal of waste.
Financial income statements and balance sheets for the company for the last two complete years. For a newly formed company, a detailed statement from the owner(s) outlining the financial ability to operate all transportation functions authorized by the applied for authority. All financials should be marked as CONFIDENTIAL
A complete list of equipment anticipated to be used if the Certificate is granted.
A letter or Acord Certificate from an insurance company (or agent) authorized to do business in Louisiana, stating such company will write the required insurance coverage as currently exist in the event Certificate is granted.
A complete copy of the company's safety manual either by printed hard copy or on a USB Flash Drive ONLY.
Copies of permits required by any and all other state and federal agencies for the transportation and disposal of waste or a detailed list of those required permits in which the applicant has applied for, including a detailed compliance history under any jurisdiction the Applicant is currently subject to for each regulatory agency's jurisdiction.

The original notarized application and accompanied documents must be submitted in TRIPLICATE

Once the completed application has been received by the Commission, it will go through the application process as follows:

- Staff will review the application to ensure that the applicant has submitted evidence to demonstrate the following to the Commission:
 - 1. Applicant holds, or is capable of acquiring, an **insurance** policy providing coverage of two hundred fifty thousand dollars for injury or death per person or five hundred thousand dollars per occurrence, and ten thousand dollars property damage;
 - 2. Applicant has the **financial ability** to provide the transportation of waste for disposal in a safe and efficient manner;
 - 3. Applicant holds, or is capable of acquiring, **all of the necessary authorizations** required by any and all regulatory authorities for the transportation of waste for disposal;
 - 4. Applicant holds, or is capable of acquiring for use, **equipment and man power** to provide transportation services in a safe and efficient manner; and
 - 5. Applicant has in place, or is capable of establishing, a **safety program** necessary for the safe and efficient transportation of waste for disposal.
- Once the Staff has accepted the application, it will be docketed, acknowledgment of application will be sent via email
 or US Postal Mail to applicant or applicant's legal counsel and application will be published in the official bulletin for
 25 days where any party may intervene and conduct discovery regarding any issue that is relevant to the subject matter
 of the docketed proceeding, as long as the requested information is not privileged.
- Upon completion of publication, the application shall be assigned to the Administrative Hearings Division for the setting of a status conference(s) and hearing on the merits.
- Once the application hearing has been heard by the Administrative Law Judge (ALJ) an order will be issued by the Commission informing the applicant as to the status of their application.
- If the application is approved, a letter of compliance will be sent to the applicant advising of compliance filings required prior to the issuance of the certificate as outlined in the General Information section of this packet. Upon completion of these requirements, the authorized certificate will be issued and forwarded to the applicant.

GENERAL INFORMATION

1. INSURANCE

Public liability and property damage insurance on trucks operated by common carriers, providing coverage of (\$250,000.00) TWO HUNDRED FIFTY THOUSAND DOLLARS for injury or death to any one person not to exceed (\$500,000.00) FIVE HUNDRED THOUSAND DOLLARS per occurrence, and (\$10,000.00) TEN THOUSAND DOLLARS property damage; (\$510,000.00) FIVE HUNDRED TEN THOUSAND single/combined. These insurance policies shall be written by companies qualified to do business in this state. The required proof of insurance shall be the filing of a Form E, Bodily Injury and Property Damage Certificate of Insurance, by the Insurance Underwriter of the policy, which must be received thirty (30) days from the date of the compliance letter. *The name and address on the Application for authority must match exactly*.

2. REGISTRATION OF VEHICLES

Carriers will be required to submit a "Vehicle Registration Form T-44" and remit a fee of \$10 per vehicle as part of compliance approval and annually thereafter.

3. TARIFF (RATES, FARES AND CHARGES)

Common Carriers operate under tariffs filed with and approved by the LPSC, which shows the services to be rendered and the basis for computation of rates. After the carrier's initial filing all changes must be effected through tariff publications approved by the LPSC. The staff can handle reductions informally, but increases require approval by the LPSC. These increases usually require formal handling, publication in the Commission's Bulletin, and approval before the Commissioners at the Business and Executive Meeting. A Common Carrier's Initial Tariff must contain the following information:

- 1. Complete name and address of company
- 2. Specific information for rates charged indicating a flat rate, hourly rate, etc.. When charging hourly, indicate times when charges begin and end. A range of rates (i.e. \$75-\$100 per hour) may be used if approved by LPSC Staff see General Order dated October 02, 2012 for more details.
- 3. If rates are based on mileage, the official Louisiana highway map must be used.
- 4. Fuel surcharges must be stated in the tariff. If you wish to use the LPSC approved fuel surcharge please state that in the tariff. A copy can be found on our website: http://lpsc.louisiana.gov/regs3 motor.aspx

4. MERGER OF DUPLICATE OPERATING RIGHTS

No motor carrier shall be permitted to hold more than one certificate or permit granting the same authority in the same territory or over the same route. When a motor carrier holding operating authority acquires by purchase or leases another similar authority, the two shall be merged into one and both authorities reissued to the carrier as one. Should a certificate holder acquire additional rights which overlap the rights already held by him to some extent, that portion of the rights which overlap his original rights shall be merged into the original grant of authority.

5. SUSPENSION OF AUTHORITY OR CHANGES TO COMPANY INFORMATION

<u>Suspension</u> - If a carrier's business will be dormant for a short period of time, they may request a suspension of authority in writing. The letter must give detail as to why the business is dormant, before the LPSC will consider granting such a request.

<u>Changes To Company Information</u> - If a carrier's company information, such as name, address, contact information or any other relevant information, changes <u>it is the CARRIERS responsibility to make those changes with the Commission in writing</u> using the proper forms which may be obtained by contacting the LPSC's main office.

6. ANNUAL REPORTS AND INSPECTION AND SUPERVISION FEE (ISF) QUARTERLY REPORTS

All intrastate carriers subject to regulation by the LPSC are **REQUIRED** to file Annual Reports with the Transportation Division as stated in the Commission's General Order No. 2, dated July 1, 1921. Furthermore motor carriers under the jurisdiction of the LPSC are also **ASSESSED** Inspection and Supervision fees which are collected by the Department of Revenue pursuant to La. R.S. 45: 1177-1179. More detailed information will be provided with your Common Carrier Certificate.

7. OWNER/OPERATOR LEASES

Any utilization of owner/operators by a certificated common carrier will require the carrier place on file, prior to transportation and for duration of the lease period, a statement that owner/operators vehicle(s) is covered by and operating under the carrier's insurance. This statement must contain the owner/operators name, address and description of covered vehicles. The lease must be in writing, properly executed in quadruplicate. One copy is to be held by lessor, one by lessee, one must be immediately filed with the LPSC (along with a filing fee of \$10.00 per owner/operator lease), and one carried in the vehicle. (Web link to "Intrastate Leasing Rules" http://lpsc.louisiana.gov/regs3 motor.aspx)

LOUISIANA PUBLIC SERVICE COMMISSION



PO Box 91154; Baton Rouge, LA 70821 (888) 342-5717 or (225) 342-4439

WASTE BY MOTOR VEHICLE APPLICATION

Applicant desires to secure from the Louisiana Public Service Commission a Common Carrier Certificate authorizing applicant to operate as a **COMMON CARRIER OF WASTE BY MOTOR VEHICLE**.

BUSINESS ENTITY- APPLICANT INFORMATION

SECTION 1

Business	Entity Name:					
DBA: (Including any	doing business as "dba" name)					
Business E	Entity's Authorized Represe	entative:				
Business A	address:					
City:				State:		ZIP Code:
Mailing Ad	ldress:					
City:				State:		ZIP Code:
Telephone	# (Include Area Code)	Fax # (Include Are	a Code)	ı	Cell # (Inc	lude Area Code)
Email Addre	ess:					
FEIN #:		OR	SS#			
		ANY TAX REPORTI				
-	pany's Tax reporting year is o			anuary 01 to	December 3	•
Comp	pany's Tax reporting year is o	on a FISCAL basis repor	rting from_	Month/Day	to	each year. nth/Day
		COMPANY OWNERS	SHIP AND	O/OR INTER	REST	
	Louisiana Domestic Corporation				Date of Incorporation	
Check	Louisiana Domestic Limited Liability Company (LLC)			C)	Date of Formation	
one box	Louisiana Domestic Partnership				Date of Formation	
DOX	Louisiana Limited Liability Partnership				Date of Formation	
	Foreign* Corporation in the State of			Date of Incorporation		of Incorporation
	Foreign* Limited Liability Company (LLC) in the State of			ate of	Date of	of Formation
Foreign* Partnership in the State of				Date of Formation		

origin or existence. *Foreign Entities must also register with the Louisiana Secretary of State's Office (La. SOS); submit copies of the paperwork filed with the La. SOS along with a copy of the Certificate of Good Standing issued by the La. SOS.

BUSINE	SECTION 1 (Continued)	<u>N</u>
List Names of Officers and/or Members and p	ercentage of ownership or number of shares below and check one be	ox to indicate title.
Name	Title (Corporations list President, Vice President, Secretary, Treasurer and LLC companies list Members)	Ownership percentage of ownership or number of shares

REPRESENTATION OF APPLICANT

SECTION 2

If Applicant is represented by legal counsel or if this application is being filed by legal counsel please provide the following:

LEGAL COUNSEL'S NAME:				
FIRM NAME:				
Mailing Address:				
City:		State:		ZIP Code:
ally.		ouro.		
Telephone # (Include Area Code)	Fax # (Include Area Code)		Cell # (Incl	ude Area Code)
rerephone " (merade med dode)	Tun " (merade med dode)		dell' " (IIIei	aue in eu douej
Email Address:				
Ellian Flactoss.				

AUTHORITY TYPE

SECTION 3

(Please check all types of waste you desire to acquire in this application below)

Non-hazardous oilfield wastes (i.e. Exploration & Production wastes as defined by RS 45:162) Non-hazardous industrial solid waste (as defined by RS 45:162) Hazardous waste (as defined by RS 45:162)

GEOGRAPHICAL LOCATION

SECTION 4

Mark this box if you are seeking authority
STATEWIDE

OR applicant desires to transport waste as outlined in Section 3 originating in the following parishes:

Acadia	Allen	Ascension	Assumption
Avoyelles	Beauregard	Bienville	Bossier
Caddo	Calcasieu	Caldwell	Cameron
Catahoula	Claiborne	Concordia	DeSoto
East Baton Rouge	East Carroll	East Feliciana	Evangeline
Franklin	Grant	Iberia	Iberville
Jackson	Jefferson	Jefferson Davis	Lafayette
Lafourche	LaSalle	Lincoln	Livingston
Madison	Morehouse	Natchitoches	Orleans
Ouachita	Plaquemines	Pointe Coupee	Rapides
Red River	Richland	Sabine	St. Bernard
St. Charles	St. Helena	St. James	St. John the Baptist
St. Landry	St. Martin	St. Mary	St. Tammany
Tangipahoa	Tensas	Terrebonne	Union
Vermilion	Vernon	Washington	Webster
West Baton Rouge	West Carroll	West Feliciana	Winn

EQUIPMENT SECTION 5

	Applicant proposes to commence operations with the following equipment: (If additional space is needed, attach a separate sheet listing each vehicle)				
Year (Ex. 2016)	Make (Ex. Peterbuilt)	Model (Ex. Tractor or Trailer)	Type (Ex. Vacuum, dump, roll-off, flat bed etc)		

TERMINALS AND SERVICE OF PROCESS SECTION 6

Please check one				
Applicant is located outside of Louisiana and understands if authority is granted a LOUISIANA TERMINAL in which operations shall commence, must be established prior to issuance of the certificate.				
Applicant proposes to commence operations from the following LOUISIANA TERMINAL/LOCATION(S) as listed below: (If applicant has additional Louisiana terminals or locations, attach a separate sheet listing each location)				
LOUISIANA TERMINAL/LOCATION address:				
City:	State:	ZIP Code:		

TERMINALS AND SERVICE OF PROCESS

SECTION 6 (Continued)

OUT OF STATE CARRIERS MUST LIST AGENT FOR SERVICE OF PROCESS BELOW Any carrier domiciled outside of Louisiana and providing the intrastate transportation of waste for disposal in Louisiana shall register the company's name, address and telephone number with the Louisiana secretary of state and the Louisiana Public Service Commission. Service of process with respect to all civil, criminal, or administrative proceedings brought before any court or administrative agency located in the state may be served on the registered agent as filed with the Louisiana secretary of state by any means provided by the applicable rules or procedure for that court or agency providing service of process.

Agent For Service Of Process Name			
Mailing Address:			
City:	State:		ZIP Code:
	VERIFICAT SECTION		
STATE OF			F
BEFORE ME, the undersigned auth			
(Applicant) who represents Entity) personally came and appeared, wl			
motor vehicles, and to file with the Commis computation of rates, schedules and other received by law and by the rules and regulations of toperations. And understands that the inform Department of Revenue for purposes of Inspersional Sworm TO AND SUBSCRIBED before references.	quired data; and to he Louisiana Pub nation contained i ection and Supervi	o file such ev lic Service (n this applic sion Fees.	idence of insurance or bonds as required Commission prior to commencement of ation may be shared with the Louisiana
PRINTED NAME OF APPLICA	NT	PRINTEI	NAME OF NOTARY PUBLIC
SIGNATURE OF APPLICANT			URE OF NOTARY PUBLIC g Notary Seal & Number)
	LPSC OFFICE US	SE ONLY	
Accepted by Staff			Date
DOCKET # PUB	LISHED IN BUL	LETIN#	ON

Date